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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/668,783
	Filing Date	September 23, 2003
	First Named Inventor	Armangau
	Art Unit	2186
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	10830.0101.NPUS00

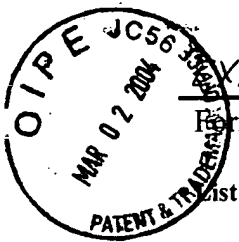
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Signature	<i>Richard C. Auchterlonie</i> Reg. 30,607
Date	March 2, 2004

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Atty. Docket No.  
10830.0101.NPUS00Serial No.  
10\668,783

List of Patents and Publications for Applicant's

Applicant  
Armangau, et al..

## INFORMATION DISCLOSURE STATEMENT

Filing Date:  
09/23/2003Group:  
2186

(Use several sheets if necessary)

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	US 2004/ 0030951 A1	02/12/2004	Armangau et al.	714	6	8/6/2002
	A2	US 2004/ 0030846 A1	02/12/2004	Armangau et al.	711	154	8/6/2002
	A3	US 2004/ 0030727 A1	02/12/2004	Armangau et al.	707	200	8/6/2002
	A4	US 2003/ 0217119 A1	11/20/2003	Raman et al.	709	219	5/16/2002
	A5	6,549,992	4/15/2003	Armangau et al.	711	162	12/2/1999
	A6	6,434,681	08/13/2002	Armangau	711	162	12/02/1999
	A7	6,324,581	11/27/2001	Xu et al.	709	229	3/3/1999
	A8	6,279,011	8/21/2001	Muhlestein	707	204	6/19/1998
	A9	6,269,431	6/31/2001	Dunham	711	162	8/13/1998
	A10	6,101,497	8/8/2000	Ofek	707	10	4/25/1997
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	A12	6,035,412	3/7/2000	Tamer et al.	714	6	3/19/1997
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	A14	5,915,264	6/22/1999	White et al.	711	168	4/18/1997
	A15	5,835,953	11/10/98	Ohran	711	162	11/08/1996
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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0101.NPUS00	Serial No. 10\668,783
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Applicant Armangau, et al..	
	Filing Date: 09/23/2003	Group: 2186

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A22	5,596,706	1/21/1997	Shimazaki et al.	395	182.04	8/10/1994
	A23	5,535,381	7/9/1996	Kopper	395	600	7/22/1993
	A24	5,434,994	7/18/1995	Shaheen et al.	395	500	5/23/1994
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	A26	4,755,928	7/5/1988	Johnson et al.	364	200	3/5/1984
	A27	4,686,620	8/11/1987	Ng	364	200	7/26/1984

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	C2	+ "Celerra File Server in the E-Infostructure," EMC Corporation, Hopkinton, Mass., 2000, 9 pages.
	C3	+ "Celerra File Server Architecture for High Availability," EMC Corporation, Hopkinton, Mass., August 1999, 7 pages.
	C4	+ Chutani, Sailesh, et al., "The Episode File System," Carnegie Mellon University IT Center, Pittsburgh, PA, June 1991, 18 pages.
	C5	+ D.L. Burkes and R.K. Treiber, "Design Approaches for Real-Time Transaction Processing Remote Site Recovery," Digest of Papers in Spring COMPCON90, Feb. 26-March 2, 1990, <i>Thirty-Fifth IEEE Computer Society International Conference</i> , San Francisco, CA, pp. 568-572.
	C6	+ "Network Data Management Protocol (NDMP)," <a href="http://www.ndmp.org/info/">http://www.ndmp.org/info/</a> ; NDMP White Paper, <a href="http://www.ndmp.org/info/technology/wp.html">http://www.ndmp.org/info/technology/wp.html</a> ; "Protocol Specification Summary, Document Version: 1.7.2S," <a href="http://www.ndmp.org/info/spec_summary.html">http://www.ndmp.org/info/spec_summary.html</a> ; "Legato Systems Embraces the NDMP Standard: Legato Networker Software to be NDMP Compliant in Q3," <a href="http://www-ftp.legata.com/News/Press/PR209.html">http://www-ftp.legata.com/News/Press/PR209.html</a> ; published at least as early as 10/11/99, 17 pages.

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### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C7 X	R. Stager and D. Hitz, Internet Draft filename " <u>draft-stager-iquard-netapp-backup-05.txt</u> ," <i>Network Data Management Protocol (NDMP)</i> , last update 10/12/99, pp. 1-73.
	C8 X	Koop, P., "Replication at Work. (four companies use Oracle and Sybase replication servers to solve business problems)," <i>DBMS</i> , vol. 8, No. 3, p. 54(4), March 1995.
	C9 X	"Remote Mirroring Technical White Paper", Copyright 1994-2002 Sun Microsystems, published at least as early as May 17, 2002 at sun.com, 25 pages.
	C10 X	"EMC TechNote:" Celerra Replicator, EMC Corporation, Hopkinton, MA, 2002 (5 pages).
	C11 X	"EMC TimeFinder Product Description Guide", EMC Corporation, Hopkinton, MA, 1998, pp. 1-31.
	C12 X	"Leveraging SnapView/IP in Oracle8i Environments with the CLARiiON IP4700 File Server", Engineering White Paper, EMC Corporation, Hopkinton, MA, Feb. 13, 2002, pp. 1-16.
	C13 X	"Using EMC CLARiiON FC4700 and SnapView with Oracle 8i", Engineering White Paper, EMC Corporation, Hopkinton, MA, March 4, 2002, pp. 1-22.
	C14 X	"Disaster Recovery Guidelines for using HP SureStore E XP256, Continuous Access XP with Oracle Databases," Rev 1.03, Hewlett-Packard Company, Palo Alto, CA, May 2000, pp. 1-28.
	C15 X	"Enterprise Volume Manager and Oracle8 Best Practices", Compaq White Paper, Compaq Computer Corporation, Dec. 1999, pp. 1-11.
	C16 X	VERITAS Database Edition for Oracle, "Guidelines for Using Storage Checkpoint and Storage Rollback with Oracle Databases", Veritas Software Corporation, Mountain View, CA, August 2001, pp. 1-16.
	C17 X	"VERITAS Volume Replication and Oracle Databases", A Solutions White Paper, Veritas Software Corporation, Mountain View, CA, May 29, 2000, pp. 1-31.
	C18 X	Nabil Osorio and Bill Lee, "Guidelines for Using Snapshot Storage Systems for Oracle Databases", Oracle Corporation, Oct. 2001, pp. 1- 12.

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